

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN THE APPLICATION OF : Hans-Joachim Bassfeld

FOR : HOLLOW BODY

SERIAL NO. : 10/634,293

FILED : August 5, 2003

EXAMINER : Not yet known

ART UNIT : Not yet known

ATTORNEY DOCKET NO. : BE8762US

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement has been filed pursuant to the waiver issued by the U.S. Patent and Trademark Office on July 11, 2003, wherein the Office waived the requirement under 37 CFR 1.98(a)(2)(i) for submitting copies of each cited U.S. patent and each U.S. patent application publication, for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC § 371 after June 30, 2003.

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached form PTO-1449. The required copy of each document is enclosed *except for* documents previously cited by or submitted to the Office in a prior application(s) relied upon for an earlier filing date under 35 U.S.C. 120, said prior application(s) identified below:

Serial No. Filing Date

- 2. Pursuant to 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made.
- 3. Pursuant to 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not be construed as an admission that the information cited in this Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b).

- 4. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):
 - () Copy of each English language version of a search report by a foreign patent office in a counterpart foreign application, indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
 - () Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents."
 - () English-language abstract.
 - (XX) 5. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed:
 - () concurrently with the filing of the national application; or
 - (XX) within 3 months of the filing date of a national application; or
 - () within 3 months of the date of the entry of the national stage as set forth in 37 CFR 1.491 in an international application; or
 - () before the mailing date of a first Office Action on the merits.

{NOTE: Statements filed within the foregoing periods require neither a fee nor a Certification}

- () 6. Pursuant to 37 C.F.R. 1.97(c) this Statement is being filed *after* the mailing date of a first Office Action on the merits but *before* the mailing date of either: a Final Office Action under 37 C.F.R. 1.113 or a Notice of Allowance under 37 C.F.R. 1.311, whichever occurs first. Accordingly, this Statement is accompanied by:
 - () Certification as specified in 37 C.F.R. 1.97(e); OR
 - () The submission fee set forth in 37 C.F.R. 1.17(p). Enclosed is Form PTO-2038 authorizing the amount of \$180 to be charged to our credit card to cover the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement.
- () 7. Pursuant to 37 C.F.R. 1.97(d) this Statement is being filed *after* the period specified in 37 C.F.R. 1.97(c), but *before or concurrent with* payment of the Issue Fee. Accordingly, this Statement is accompanied by:
 - A. Certification as specified in 37 C.F.R. 1.97(e); AND
 - B. The submission fee set forth in 37 C.F.R. 1.17(p). Enclosed is Form PTO-2038 authorizing the amount of \$180 to be charged to our credit card to cover the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement.

() 8. Statement filed after payment of Issue Fee, but before patent grant:

This Statement is being filed *after* payment of the Issue Fee, but *before* grant of the patent; accordingly it is understood that this Statement will *not* be considered. However, it is respectfully requested that this Information Disclosure Statement be placed in the application file. {NOTE: no fees or certification required}

- () 9. CERTIFICATION under 37 C.F.R. 1.97 (e) (if applicable):
 - () The undersigned hereby certifies that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Information Disclosure Statement, **OR**
 - () The undersigned hereby certifies that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

The Commissioner is hereby authorized to charge any additional fees required for consideration of this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 50-0537, identifying our Docket No. **BE8762US**.

Date: September 16, 2003

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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this	correspondence (ale	long with any pape	r referenced as bein	g attached or enclosed) is b	eing
deposited on the below date with	the United States P	Postal Service with	sufficient postage a	s first class mail in an enve	lope
addressed to Commissioner for Pat	ents, P.O. Box 1450,	, Alexandria, VA 22	2313-1450.	4 1	

Date: September 16, 2003

Christing Goellager

Christing Goellager

Form PTO-1449

ttorney Docket: BE8762US

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INFORMATION DISCLOSURE CITATION

lication of:

Hans-Joachim Bassfeld

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August 5, 2003

Examiner: Not yet known

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HOLLOW BODY

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U.S. Patent Documents

Examiner Initials	Document Number	Date	Name		Class						
	*6,251,967	June 26, 2001	Perichaud et al		523/122	2					
Foreign Patent Documents											
Examiner Initials	Document Number	Country	Date of Publication	Class		Translation (Yes/No)					
	DE 697 08 324	Germany	July 9, 1998 (correspond	C 08 F Is to U.S		Yes* No. 6,251,967*)					
	WO 01/87998	WIPO	November 22, 2001	C08F 2	0/54	Yes-Abstract					
Examiner:			Date Considered:								

^{**}Examiner:

Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.